# Predictors of Emergency Room Utilization and Hospitalization Among Adults with Intellectual and Developmental Disabilities (IDD): Key Findings

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# Background

- NYS utilized \$54 billion Medicaid dollars in 2012 more than any other state in the nation.
- NYS expenditures are twice the national average when examined on a per-enrollee basis.
- NYS Medicaid contribution more than doubled in ten years

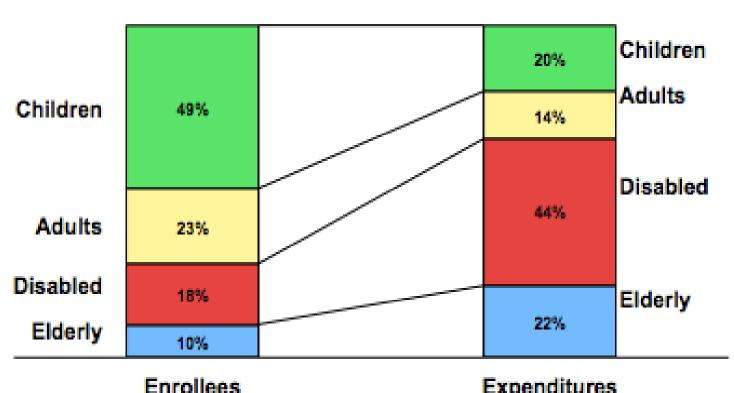
• 2001: **\$9.3 billion** 

• 2011: **\$20.8 billion** 

# ER and Hospital Utilization

- From 2010 to 2011, hospital expenditures increased by 4.3% to \$850 billion of U.S. National Health Expenditures.
- NYS
  - Ranks 50<sup>th</sup> in avoidable hospital use
  - 60% of MCD ER visits and 16% of hospital admissions were potentially avoidable
  - Spent \$1.2 billion in avoidable hospital use (in 2011)
- NYC
  - \$830 million (NYC) in MCD cost due to avoidable acute care utilization versus \$344 million (NYS)

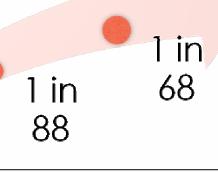
# Medicaid Enrollees and Expenditures, 2009



Enrollees Total = 49.1 million Expenditures Total = \$338.1 billion

# Contributors to Rising Cost

- Increasing lifespan
  - People with IDD over 60 will double between 2000 and 2030 (projected 1.2 million)
- Increasing prevalence of specific IDDs
  - 1 in 68 with Autism Spectrum Disorders (ASD)
- High number of chronic health issues associated with specific DDs



1 in 110

Increasing ASD Prevalence

# Contributors to Rising Cost

- Transition from institutional to community-based living
  - Olmstead vs. L.C. Olmstead
- Increased utilization of community-based long-term services and supports (LTSS)
  - 19.3% increase in LTSS and high waitlists
- Fee-for-service payment structure
  - Reimbursed for all services provided

### Literature Review

- Higher ER utilization rates (30%) for adults with IDD in upstate NY compared to the general population (20%) (Janicki et al., 2002)
- Walsh et al. (1997) found hospital admissions rose for people with IDD by 56% and expenditures by 206%
- Birenbaum et al. (1990) found people with IDD had hospitalization rates twice the national average and an annual per person cost of \$1000-\$4000 for children with IDD versus \$414 for children in the general population

### Data Source

#### Residential Health Care Survey

- Conducted by a large non-profit provider in NYC
- 22-item survey with multiple sub-questions
- 38 Residential RNs reviewed medical charts of individuals with IDD (n=597)
- Assessed the healthcare needs and utilization of people receiving residential supports

#### Inclusion criteria:

- Reside in a supported living arrangement for the entire 2011-2012 calendar year
- 21 years and above

## PRIMARY AIM

Identify predictors of emergency room (ER) and hospital utilization among adults with IDD Knowledge of these predictors allows providers to:

- 1) Target intervention towards risk factors
- 2) Tailor managed care programs

Decrease
utilization costs
among people
with IDD

# Present Study

#### **Environmental Characteristics**

Affordable Care Act

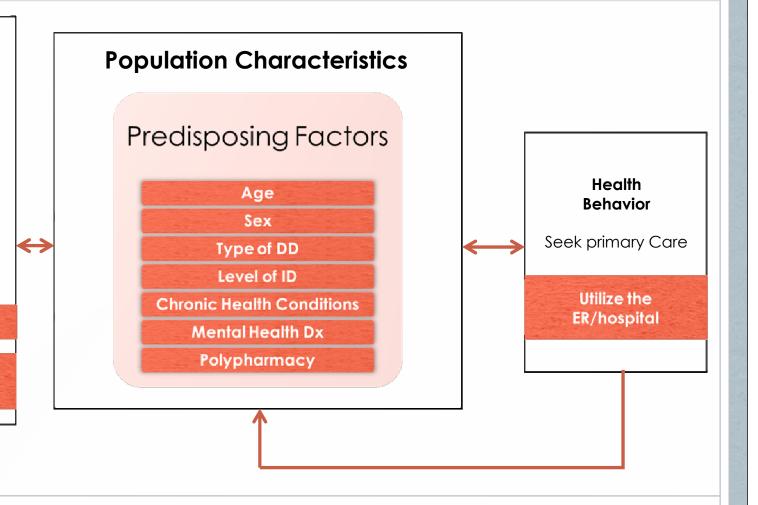
Medicaid Redesign

Managed Care Transition

Community Enabling Factors

Geographic Region

Type of Living Arrangement



# Predisposing Demographics

#### Of 597 individuals:

- •Male (58%)
- •Middle-aged to older adults (49%); mean = 49.0
- •Mild to moderate intellectual disability (69%)
- •Two or more developmental disabilities (30%)
  - Neurological disorder (e.g., epilepsy) (35%)
  - ASD (20%)
  - Cerebral palsy (10%)
  - Down syndrome (DS) (8%)

- •Two or more health conditions (69%); mean = 2.6
- •One or more mental health diagnoses (69%)
- •Polypharmacy (5 or more meds) (80%)

# Environmental Demographics

#### Residential Setting

- 63% in IRAs
- 32% in ICFs
- 5% in supported apartments

#### Region

- 19% lived in Queens and Westchester
- 18% in Brooklyn and Long Island
- 15% in Manhattan
- 10% in the Bronx

# Predisposing factors across Setting/Region

- People in more restrictive settings (ICFs) had:
  - Higher rates of Neurological disorder, ASD and CP
  - Higher rates of severe and profound ID
  - Higher prevalence of mental illness
  - Increased rates of polypharmacy
- People in Westchester had higher rates of Neurological disorder, CP and DS, and a higher number of chronic health conditions.
- People in Long Island had higher rates of ASD and mental illness.

# Outpatient Services Utilization

- 100% received primary care
- 99% dental services
- 99% specialty care
- 74% blood work
- 60% rehabilitation services

### Acute Services Utilization

- High ER utilization rate (38%) among study sample when compared to similar sample in Upstate NY (30%) and the general population (20%)
- Hospitalization occurred less frequently (15%) than Upstate NY sample (16%) and the general population (27%)

# Age Group Differences

		<b>Age 21-50</b> (n=322)	<b>Age 51-83</b> (n=275)
Diagnosed with	ASD	94 (29%)	23 (8%)
Diagnosca wiiii	7,30	74 (27/0)	25 (0/6)
Deme	entia	3 (1%)	20 (7%)
Alzhein	ner's	1 (<1%)	14 (5%)
For medical/physical reasons	••		
seen in a	ın ER	102 (32%)	127 (46%)
4 or more ER	visits	9 (3%)	28 (10%)
Hospitalized		29 (9%)	62 (23%)
Ambulatory without assiste	nce	295 (92%)	206 (75%)
Experienced of	a fall	66 (20%)	107 (39%)

What factors independently predicted emergency room (ER) and hospital utilization for <u>medical</u> reasons?

# Medical ER visits (n=229)

	Step 1	Step 2
Bronx	1.20	1.90^
Brooklyn	.51*	.58^
Supported Living	2.59*	2.85*
Age		2.25*
Cerebral Palsy		2.25*
Neurological Disorder		1.55*
Profound ID		2.01^
# of chronic conditions		1.13*
Mental health diagnosis		1.75**
Polypharmacy		2.20**

^p<.10; \*p<.05; \*\*p<.01; \*\*\*p<.001

(Model controlled for all other regions, institutional setting, ASD and DS)

# Medical Hospitalization (n=91)

	Step 1	Step 2
Institutional Setting	1.64*	1.44
Age		1.04***
# of chronic conditions		1.19*

^p<.10; \*p<.05; \*\*p<.01; \*\*\*p<.001

(Model controlled for region, supported living, sex, type of DD, level of ID, mental health diagnosis and polypharmacy)

What factors independently predicted emergency room (ER) and hospital utilization for <u>behavioral/psychiatric</u> reasons?

# Behavioral/Psychiatric ER Visits (n=44) and Hospital Admissions (n=18)

	Step 1	Step 2
Westchester	.26*	.33^
Age		.97^
Mental health diagnosis		18.75**

^p<.10; \*p<.05; \*\*p<.01; \*\*\*p<.001

(Model controlled for other regions, living arrangement, sex, type of DD, level of ID, number of chronic health conditions and polypharmacy)

#### Behavioral/psychiatric hospitalization

Unable to determine predictors due to small cell sizes

# Combined Regressions

	Step 1	Step 2
ER visits combined		
Bronx	1.26	1.86^
Supported Living	2.40*	2.62*
Age		1.01^
Cerebral Palsy		1.98*
Chronic conditions		1.12^
Mental health diagnosis		2.06***
Polypharmacy		1.93*
Hospitalizations combined		
Age		1.03**
Chronic Conditions		1.17*
Mental health diagnosis		1.59^

# Results Snapshot

#### ER utilization predictors:

- Supported Living
- Age
- Cerebral Palsy

- Mental health diagnosis
- Polypharmacy
- (Bronx and chronic health issues)

#### Hospitalization predictors:

- Age
- Chronic conditions
- (Mental health diagnosis)

# Remaining Questions

- Do people living with family or independently have even higher utilization rates?
- What factors truly led to ER/hospital utilization?
   Were utilization reasons recorded accurately?
- Despite regular PCP contact, there were high rates of ER utilization
  - How effective are primary care/specialty care services for this population?

# Policy-level Interventions

- NYS Global Spending Cap
- Medicaid Managed Care
- Patient-centered Medical Home (PCMH)

- Care coordination
- Accountable Care
   Organizations (ACOs)
- Delivery System Reform Incentive Payment (DSRIP) Program

# Programmatic/Training Interventions

- Screening/early detection at an earlier age (than general pop.)
- Tailored chronic disease management programs
- Peer support models
- Improved access to mental health (MH) services
- Specialized training for MH professionals
- Respite services (START model)
- Crisis prevention, round-the clock "on-call" MH support systems
- Regular medication reviews
- Prescription Monitoring Programs

- Teach individuals medication self-management
- Electronic Health Records (EHRs) and Regional Health Information Exchanges (RHIOs)
- Extended clinic hours, increase use of urgent care
- Telehealth and telepsychiatry
- Specialized training for hospital staff (WIHD - LEND program)
- Coordinated transition planning
- Regional assessment of healthcare access for people with IDD

# **QUESTIONS?**

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